Singlet oxygen generation by higher fullerene-based colloids

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RUNNING TITLE: COLLOIDS OF HIGHER FULLERENES

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Abstract: In this paper, the results of the synthesis and characterization of higher fullerene-based colloids is presented. The generation of singlet oxygen $^1\Delta_g$ by fullerene water-based colloids ($nC_{60}$, $nC_{70}$ and $nC_{84}$) was investigated. It was found by electron paramagnetic resonance spectroscopy that the generation of singlet oxygen was the highest by the $nC_{84}$ colloid. The amplitude of the electron paramagnetic resonance (EPR) signal was two orders of magnitude higher than the amplitude of the EPR signals which originated from $nC_{60}$ and $nC_{70}$. The surface morphology and the structure of the particles of the water-based colloids were investigated by atomic force microscopy (AFM). The AFM study showed that the average size of the $nC_{60}$, $nC_{70}$ and $nC_{84}$ were 200 nm, 80 nm and 70 nm, respectively. In addition, the particle size distribution of the $nC_{60}$, $nC_{70}$ and $nC_{84}$ colloids was determined by dynamic light scattering (DLS) measurements.

Keywords: higher fullerene, colloid, electron paramagnetic resonance spectroscopy, atomic force microscopy, dynamic light scattering.

INTRODUCTION

For more than 20 years, fullerenes have attracted the attention of researchers from many different fields. Their application is possible in many areas (biomedicine, electronics) because of their unique properties.1–6 Whereby, their photophysical properties are the most interesting for medical applications. Pristine fullerenes, C$_{60}$ [60-Ih] and C$_{70}$ [70-D5h], effectively produce singlet oxygen ($^1\Delta_g$) by absorbing light energy.7 The photosensitized production of singlet oxygen involves four steps: a) absorption of light; b) formation of the triplet state of the photosensitizer; c) trapping of the triplet state by molecular oxygen and d) energy transfer from the triplet state to molecular oxygen.8 In photodynamic therapy (PDT) of cancer, fullerenes could be used as photosensitizers.9 Photodynamic therapy (PDT) is one of the non-invasive treatments applicable with reduced side effects for various types of tumors.10 Chemical modification by PEG of appropriate molecular weight and terminal structures enables water-insoluble C$_{60}$ to dissolve in water and automatically accumulate in a tumor. The PDT effect of C$_{60}$-PEG-Gd was significant when light irradiation was performed 3 h or longer after injection, whereas no effect was observed 1 h after injection.11
Biomedical applications demand fullerene in a water soluble form. Several methods have been developed to disperse these otherwise hydrophobic carbon compounds in water.\textsuperscript{12} There are different methods for creating colloidal nanocrystalline fullerenes (nC\textsubscript{60}, nC\textsubscript{70} and nC\textsubscript{84}).\textsuperscript{13–15} Variations such as the solvent used, temperature, fullerene concentration and mixing regime affect the size, structure and charge characteristics of fullerene-based colloids. Differences in size, structure and surface chemistry of nC\textsubscript{60} produced by various procedures could have important implications for the interpretation of data from environmental transport and toxicity studies.

In a previous study, it was shown that nC\textsubscript{60} intercalated with different solvents produce singlet oxygen at different rates.\textsuperscript{16} With regard to their capacity to generate ROS and cause mitochondrial depolarization followed by necrotic cell death, nC\textsubscript{60} suspensions are ranked in the following order: THF/nC\textsubscript{60} > EtOH/nC\textsubscript{60} > aqua/nC\textsubscript{60}. Mathematical modeling of singlet oxygen (\(1\Delta_g\)) generation indicates that the \(1\Delta_g\)-quenching power (THF/nC\textsubscript{60} < EtOH/nC\textsubscript{60} < aqua/nC\textsubscript{60}) of the solvent intercalated in the fullerene crystals determines their ability to produce ROS and cause cell damage.

In this study, singlet oxygen generation of fullerene-based colloids was investigated. The photophysical properties of higher fullerenes remain poorly understood, largely because of the challenge posed by multiple isomeric forms of these compounds.\textsuperscript{17} Singlet oxygen was detected by electron paramagnetic resonance (EPR) spectroscopy, following the formation of stable nitroxide radicals, TEMPO (2,2,6,6-tetramethylpiperidine-1-oxyl).\textsuperscript{18} Using atomic force microscope (AFM), individual particles and group of particles can be visualized and unlike other microscopy techniques, AFM offers visualization in three dimensions. Based on dynamic light scattering (DLS) analysis, it was found that the average size of the higher fullerene colloidal particles was about 40 nm.

**EXPERIMENTAL**

C\textsubscript{60} (99.9 \% purity), C\textsubscript{70} (99 \% purity) and mixture of higher fullerenes (C\textsubscript{84} (40 \%), C\textsubscript{76} (20 \%), C\textsubscript{78} (20 \%) and C\textsubscript{70} (20 \%) - further in the text C\textsubscript{84}) were purchased from MER corporation, Tuscon, USA. The preparation procedure of nC\textsubscript{60} and nC\textsubscript{70} were described in previous studies.\textsuperscript{16,19} Freshly distilled THF of HPLC purity (Carlo Elba, Milan, Italy) was used as the solvent. During the preparation of nC\textsubscript{84}/THF, powder of the higher fullerenes was added to THF at a concentration of 10 mg L\textsuperscript{-1}. The THF/C\textsubscript{84} mixture was purged with nitrogen to remove any dissolved oxygen and stored overnight in the dark while being continuously stirred to ensure a homogeneous mixture. The THF/C\textsubscript{84} solution was then filtered through a 0.5 \(\mu\)m PTFE filter to remove any excess of solid material. An equal amount of MilliQ water was then added to the THF/C\textsubscript{84} filtrate at a rate of 1 L min\textsuperscript{-1} under continuous stirring. The THF was removed from the solution using a rotary evaporator operated at 45 \(^\circ\)C. The concentrations of fullerene particles in water were determined from the absorption spectra. The concentration of the THF/nC\textsubscript{84} suspension was approximately 6 mg L\textsuperscript{-1}.

Silicon was used as the substrate. The drop of fullerene-based colloids was deposited on silicon substrates and dried in air. The morphology of the three different colloids was investigated by means of a Quesant AFM. A conventional Si cantilever was used for all measurements under ambient conditions. Imaging was realized in the non-contact dynamic mode. In this mode, the cantilever oscillates close to resonance and the tip only slightly touches the surfaces. All images were processed for better quality.

The particle size distributions of the C\textsubscript{60}, C\textsubscript{70} and higher fullerene suspensions were obtained using a Brookhaven Instruments light scattering system equipped with a BI-200SM goniometer, a BI-9000AT correlator, a temperature controller and a Coherent INNOVA70C argon-ion laser.
EPR Spectroscopy was used to monitor the generation of singlet oxygen in the aqueous solutions. The EPR experiments were performed at room temperature using a Varian E-line spectrometer operating at a nominal frequency of 9.5 GHz. A mixture containing 0.18 mm TMP (Sigma) and different fullerene-based colloids with a concentration of 2 mg L\(^{-1}\) was thoroughly ultrasonicated and incubated at room temperature for 24 h. Aliquots (7 \(\mu\)L) of the TMP-fullerene mixtures were then transferred into 3 mm i.d. quartz tubes and the TEMPOL signal was analyzed by EPR. Quantification of the signals was realized by calculating the mean value of the amplitudes of the EPR signals and the data are expressed in arbitrary units.

RESULTS AND DISCUSSION

Surface morphology

In addition to yielding three-dimensional topographic images of investigated objects, AFM has also become an invaluable tool for studying important properties of a specimen.\(^{20}\) The surface morphology of the prepared fullerene-based colloids deposited on freshly cleaned silicon substrates was observed by atomic force microscopy. The size and shape of particles of the three different fullerene-based colloids were determined by AFM. The colloids were diluted to a concentration of approximately 0.1 mg L\(^{-1}\) to prevent agglomeration of particles during the course of drying and to enable the detection of single particles. The AFM images of the particles of the \(n\text{C}_{60}\), \(n\text{C}_{70}\) and \(n\text{C}_{84}\) colloids are presented in Fig. 1 (a–f). As can be observed from Fig. 1, the average sizes of the \(n\text{C}_{60}\), \(n\text{C}_{70}\) and \(n\text{C}_{84}\) particles based on surface profile analysis were 200 nm, 80 nm and 70 nm, respectively.

Despite the precautions, the particles formed agglomerates on certain areas of the silicon substrate. It is considered that most of the aggregates were formed during drying but preformed aggregates, maintained by van der Waals attraction, in the colloid are also possible.\(^{21}\) Brant \emph{et al.} observed that aggregate formation resembled a crystal growth process rather than undirected particle aggregation.\(^{22}\)

Dynamic light scattering (DLS)

Dynamic light scattering measurements were performed to examine the particle size distribution of the three different fullerene-based colloids and the formation of aggregates in the specimens. Based on data presented in Fig. 2, it could be conclude that the sizes of most of the \(\text{C}_{60}\) particles were between 100–150 nm. The DLS measurements of the \(\text{C}_{70}\) and \(\text{C}_{84}\) particles showed that the sizes were approximately the same, between 40–50 nm. Less than 10 % of the \(\text{C}_{70}\) particles had a diameter in the range from 80 to 100 nm. The mean lateral diameter measured by AFM were larger than the real mean diameters measured by DLS because of convolution of the tip.\(^{20}\)

The results obtained by AFM measurements and by DLS for particle size distribution were in a good agreement.

Electron paramagnetic resonance (EPR)

Electron paramagnetic resonance (EPR) is a non-optical technique in which energy transfer between the intrinsic magnetism of an unpaired electron and an external magnetic field is measured with a sensitive microwave detection system. An EPR spectrum is recorded by measuring the strength of the microwave signal when the magnetic field is swept over a small range.\(^{23}\)
The reaction of $^1\Delta_g$ with a stable molecule can generate a moderately long-lived free radical. In addition, $^1\Delta_g$ can react with a spin label, an organic molecule with an unpaired electron. Determination of the structure of the adduct by EPR provides an unambiguous identification. The spin label TEMP was employed as a spin label probe. The reaction of $^1\Delta_g$ with TEMP leads to the free radical TEMPO.18

The results of EPR measurements of the three different fullerene-based colloids are presented in Fig. 3. In a previous study, it was established that singlet oxygen was generated by $^{11}C_{60}$.19 These experimental results were confirmed by a theoretical model.16 In this study, generation of singlet oxygen by $^{11}C_{84}$ was observed. The amplitude of EPR signal produced by $^{11}C_{84}$ is significantly higher than the ones caused by $^{11}C_{60}$ and $^{11}C_{70}$. This is for the first time that the generation of singlet oxygen by $^{11}C_{84}$ particles in an aqueous solution is shown. The observed formation rates of TEMPO were about two orders of magnitude faster for $^{11}C_{84}$ than for $^{11}C_{60}$ and $^{11}C_{70}$.

Experimentally, seven $^{11}C_{84}$ isomers are formed in standard fullerene soot.24 Of these, the two most abundant isomers are D$_2$(IV) (ca. 50 %) and D$_2$(II) (ca. 25 %). The triplet lifetime of D$_2$(IV) $^{11}C_{84}$ is 640 $\mu$s, which represents the second longest time found to date among fullerenes. The triplet lifetimes of $^{11}C_{60}$ and $^{11}C_{70}$ in toluene at room temperature are 143 $\mu$s and 11.8ms, respectively.25 The energies of the lowest lying triplet states of $^{11}C_{60}$ and $^{11}C_{70}$ are 1.62 eV and 1.54 eV, respectively.26,27

Despite the fact that $^{11}C_{70}$ has a longer triplet lifetime than $^{11}C_{60}$, the present results demonstrate that $^{11}C_{60}$ and $^{11}C_{70}$ produce almost equal amounts of singlet oxygen. One of the reasons for such behavior is the fact that $^{11}C_{70}$ quenches singlet oxygen much better than $^{11}C_{60}$.28 According to literature data, the rates of singlet oxygen quenching for $^{11}C_{60}$ and $^{11}C_{70}$ are 6.1 x 10$^4$ L mol$^{-1}$ s$^{-1}$ and 3 x 10$^6$ L mol$^{-1}$ s$^{-1}$, respectively.29,30 Based on a theoretical model of singlet oxygen generation by $^{11}C_{60}$ and $^{11}C_{70}$,16 the concentration of neutral oxygen inside $^{11}C_{60}$ and $^{11}C_{70}$ is in the range of 10$^{14}$ to 10$^{17}$ cm$^{-3}$, and $^{11}C_{70}$ produces only 5 % more singlet oxygen than $^{11}C_{60}$.31

Booth et al. recently showed the presence of a peak at around 1277 nm in the emission spectrum of $^{11}C_{84}$D$_2$(IV), which was assigned to luminescence emission to $^1\Delta_g$ molecular oxygen.32 According to measurement of energies, the lowest lying triplet state of D$_2$(IV) and D$_{2d}$(II) are 0.99 eV and 0.94 eV.32 No significant emission from $^1\Delta_g$ was observed for D$_{2d}$(II).32 Up to now, it was considered that the higher fullerenes produce a significantly lower amount of the reactive form of molecular oxygen $^1O_2(^1\Delta_g)$ than $^{11}C_{60}$ and $^{11}C_{70}$.33

Fig. 3.

The extremely high generation of singlet oxygen by $^{11}C_{84}$ compared to $^{11}C_{60}$ and $^{11}C_{70}$ could be, at the moment, explained by two facts. The first one is that the total energy of the triplet state of excited $^{11}C_{84}$D$_2$(IV) is almost identical to the excitation energy of neutral oxygen, 1.6 eV.32 Therefore, the process of energy transfer from $^{11}C_{84}$ to neutral oxygen can proceed without collision with a third body, necessary to take the excess of energy.

The second fact is that the area of the channels in the unit cell of a $^{11}C_{84}$ nanocrystal that permits diffusion of neutral oxygen within the unit cell is
rather larger than for the unit cell of C60 or C70. It was reported that a face-centered cubic structure was dominant for C60, C70 and C84.34–36 Zubov et al. assumed free rotation of the nearly spherical fullerene molecules in the unit cells at room temperature.37

The distances, $a$, among the nearest fullerene molecules in a unit cell (C60, C70 and C84) and the surface area of one channel between the fullerene molecules in the unit cell are listed in Table I, from which it can be seen that the distances between the C84 molecules are the largest. Since there are six channels per unit cell, the total surface area for the diffusion of neutral oxygen is 9 times larger for C84 than for C60.

Table I

CONCLUSIONS

In this work, the generation of singlet oxygen by a water-based $nC_{84}$ colloid was demonstrated for the first time. The rate of singlet oxygen generation by the $nC_{84}$ colloid was two orders of magnitude higher than those of the $nC_{60}$ and $nC_{70}$ colloid. Experimental conformation was obtained by EPR spectroscopy.

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31. unpublished results
### TABLE I. Parameters of fullerene nanocrystals.

<table>
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<th>C\textsubscript{60}</th>
<th>C\textsubscript{70}</th>
<th>C\textsubscript{84}</th>
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<td>(a) nm</td>
<td>1.417</td>
<td>1.501</td>
<td>1.59</td>
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<tr>
<td>Surface area of one channel ((\times 10^{-18} \text{ m}^2))</td>
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<td>0.4835</td>
<td>0.5425</td>
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FIGURE CAPTIONS

Figs. 1(a and b, c and d, e and f). Top and 3D views of AFM images of C_{60}, C_{70} and C_{84} particles, respectively.

Fig. 2. Particle size distribution of different fullerene particles in the colloids: (●) C_{70} particles, (▲) C_{60} particles, (■) C_{84} particles.

Fig. 3. EPR spectra of the three different fullerene-based colloids: (···) nC_{60}, (---) nC_{70} and (−) nC_{84}. 
Fig. 2.