COMPUTATIONAL MODELLING OF FAILURE MECHANISMS IN REINFORCED CONCRETE STRUCTURES

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Abstract. A modelling approach for macroscopic reinforced concrete (RC) structures and structural elements under static loading conditions is presented. It uses the embedded modelling technique to separately account for concrete volumes and single longitudinal bars or stirrups. The material equations of the 3D elasto-plastic damage model for concrete are derived assuming isotropic damage, stiffness recovery and loss due to crack closing and reopening and a non-associated flow rule. Suitable material functions and material parameters as well as a regularisation by energy criteria are given. The approach is applied to shear beam tests illustrating numerical results compared to corresponding experimental data.

Key words: numerical simulation, reinforced concrete, concrete model, embedded modelling, damage evolution, shear failure, circular sections

1. INTRODUCTION

Numerical simulations of reinforced concrete structures grow more and more in their variety of applications [1, 2]. They usually base on continuum damage theories [3, 4] and finite element methods [5, 6] modelling the effects of cracking in an indirect way by distributed reductions in stiffness parameters. The simulations often focus on
– overall nonlinear structural aspects,
– cracking and redistribution effects,
– properties of specific materials like reinforced, prestressed, high-strength, fibre reinforced [7] and textile-reinforced concrete [8, 9],
– geometrical characteristics like specific section shapes or complicated structural nodes,
– loading characteristics like static, fatigue or impact loading or constraint conditions,
– lifetime evaluations [10],
– multi-scale approaches from micro to meso and macro scales [11, 12].

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The core element of all numerical considerations is the material model of concrete. It is often formulated in the framework of elasto-plastic damage theories for continuous bodies to derive damaging effects of cracking from plastic strains. Thus, cracks are phenomenologically treated and "smeared" over element lengths.

In the paper, a computational modelling approach is presented using the example of RC shear beam tests. The basic aspects are revealed and typical numerical results are illustrated and compared to experimental data. Figure 1 shows the experimental setup of a three-point-bending test, where the attribute of specific interest is the circular shape of the section. Two experiments A1 and A4 are chosen out of a total series performed at the Ruhr-University of Bochum [13]. They only differ in their amounts of stirrups, namely – in case A1 – no stirrups (despite the regions of load application and bearing) to achieve a brittle shear failure by inclined concrete cracking and – in case A4 – a moderate and regular number of stirrups to gain ductile shear failure modes introduced by stirrup yielding.

<table>
<thead>
<tr>
<th>No.</th>
<th>section</th>
<th>sideview</th>
<th>material properties</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>concrete</td>
</tr>
<tr>
<td>A1</td>
<td>$D = 400$ mm</td>
<td>$18 \varnothing 25$</td>
<td>$f_{c,\text{cyt}} = 25.12$</td>
</tr>
<tr>
<td></td>
<td></td>
<td>$\varnothing 10 - 150$ mm</td>
<td>$f_{ct} = 2.59$</td>
</tr>
<tr>
<td>A4</td>
<td>$18 \varnothing 25$</td>
<td>$10 - 150$ mm</td>
<td>$E_c = 34232$ [MPa]</td>
</tr>
</tbody>
</table>

Fig. 1 Shear tests of RC members with circular cross sections [13]

2. NUMERICAL SIMULATIONS

The major advantages of numerical investigations are their variability and effectiveness compared to elaborate experiments – however, experiments are still indispensable for principle verifications. Consequently, the finite element model is build up in a parametric way to allow for easy variations in basic parameters like reinforcement geometries and amounts, material parameters or section and length sizes.
2.1 Finite element model

Figure 2 shows the parametric finite element model of a typical three-point-bending test, where the specific section and thus the shape of the stirrups are of circular shape. It takes advantage of the symmetries in geometry and load and discretely models each circumferentially arranged longitudinal bar and each stirrup by a number of isoparametric spatial truss elements. The elements are embedded in the concrete volume and coupled to the linear 8-node solids with no additional slip conditions. Nonlinear springs prevent unrealistic tensile stresses at the edge of the support.

2.2 Material model for concrete

An elasto-plastic damage model is used to describe the nonlinear material properties of concrete [14-16]. It bases on the classical continuum damage theory [4] assuming geometric linearity. The model was developed by Lubliner, Oliver, Oller & Onate [17, 18] and elaborated by Lee & Fenves [19].

2.2.1 Basic Equations

Starting from an additive strain rate decomposition in elastic and plastic parts

\[ \dot{\varepsilon} = \dot{\varepsilon}^e + \dot{\varepsilon}^p \]  

the stress-strain relation is given in a matrix form by

\[ \sigma = (1 - d)D_0 (\varepsilon - \varepsilon^D), \]  

where \( d \) with \( 0 \leq d < 1 \) is a scalar damage variable and the matrix \( D_0 \) contains the initial, elastic material properties. So, isotropic reductions of stiffness \( D = (1 - d)D_0 \) model cracking. Similar, effective values of stresses \( \bar{\sigma} \) are introduced by \( \sigma = (1 - d)\bar{\sigma} \). A yield function \( F \) of combined (modified) Drucker-Prager and Rankine type determines states of failure or damage.

\[ F = F(\bar{\sigma}, \bar{\varepsilon}^p) = \frac{1}{1 - \alpha} \left( \bar{q} - 3\bar{\sigma}^p + \beta(\bar{\varepsilon}^p)^T \left( (\bar{\sigma}^p)_{\text{max}} - \gamma(\bar{\sigma}^p)_{\text{max}} \right) - \bar{\sigma}^p \right) \]  

with: \( \bar{\varepsilon}^p = (\varepsilon_i^p, \varepsilon_\text{c}^p)^T \), \( \bar{\sigma} = \frac{1}{2}(x + x^T) \)

It represents a surface in the effective stress space and depends on two hardening variables \( \varepsilon_i^p, \varepsilon_\text{c}^p \), the hydrostatic pressure \( p = -I_1/3 \) and the von Mises equivalent stress \( q = (3J_2)^{1/2} \). \( \alpha, \gamma = 3 \), \( \beta \) denote material parameters and a material function, respectively. They include the ratio \( \alpha_f \) of the biaxial to the uniaxial compressive strength.

\[ \alpha = \frac{\alpha_f - 1}{2\alpha_f - 1}, \quad 0 \leq \alpha < 0.5 \]  

\[ \beta = \frac{\bar{\sigma}_i (\varepsilon_i^p)}{\bar{\sigma}_i (\varepsilon_i^p)} (1 - \alpha) - (1 + \alpha) \]
The evolution of the hardening variables is linked to the three eigenvalues \( \hat{\varepsilon}_1^{pl} \geq \hat{\varepsilon}_2^{pl} \geq \hat{\varepsilon}_3^{pl} \) in \( \hat{\varepsilon}^{pl} \) of the plastic strain rate tensor via a multiaxial, principal stress condition:

\[
\hat{\varepsilon}^{pl} = \begin{bmatrix} r(\hat{\sigma}) & 0 & 0 \\ 0 & 0 & r(\hat{\sigma}) - 1 \end{bmatrix} \hat{\varepsilon}^{pl}
\]

(6)

that controls the distributions on \( \varepsilon_t^{pl} \) and \( \varepsilon_c^{pl} \). Consistently, only \( \varepsilon_t^{pl} \) or \( \varepsilon_c^{pl} \) are activated in cases of uniaxial tensile or compressive loading.
Plastic flow is governed by the plastic flow potential $G$ according to the non-associated flow rule

$$
\dot{k}^{pl} = \lambda \frac{\partial G(\sigma)}{\partial \sigma},
$$

(8)

where $G$ is of modified Drucker-Prager type and formulated in the plane of the effective values of $p$ and $q$.

$$
G = -\bar{\rho} \tan \psi + \sqrt{(\alpha_c f_{ct} \tan \psi)^2 + q^2},
$$

(9)

$\psi$, $f_{ct}$, $\alpha_c \geq 0$ denote the dilation angle, the tensile concrete strength and a material parameter $\alpha_c$ that affects the exponential deviation of $G$ from the linear Drucker-Prager flow potential, especially for small confining pressures.

Figure 3 illustrates that strength results obtained from the material model – no matter of being under uniaxial, biaxial or triaxial loading conditions – agree well with experimental data taken from the literature. The comparisons are related to average values of the compressive strength $f_c$ and summarised in the plane of $p$ and $q$.

![Image of Figure 3](image)

Fig. 3 Uniaxial, biaxial and triaxial strength results in the plane of $p$ and $q$ compared to experimental data

Damage is caused by cracking or crushing under tensile or compressive loading conditions. Thus, tensile $d_t$ as well as compressive $d_c$ parts constitute the total damage $d$

$$
1 - d = (1 - s_t d_t)(1 - s_c d_c),
$$

(10)

where the two functions $s_t$, $s_c$ add in stiffness effects arising from closing and reopening of cracks.
\[ s_r = 1 - \frac{1}{2} r(\tilde{\sigma}) \]
\[ s_c = r(\tilde{\sigma}) \]  

(11)

A complete recovery of stiffness is assumed for crack closing and a partial transference of damage \( d_c \) takes place in cases of load cycles from compression to tension (factor \( \frac{1}{2} \)). Figure 4 illustrates the assumptions for a cyclic, uniaxial loading path from tensile to compressive loading and back to the tensile side. Unloading occurs linearly and plastic concrete strains remain for \( \sigma = 0 \).

Fig. 4 Uniaxial loading path with stiffness recovery

### 2.2.2 Material equations and parameters

Three stepwise defined material functions describe the stress-strain behaviour under monotonic, uniaxial compressive loading (Figure 5).

\[ \sigma_{c(1)} = E_c e_c \]  

(12)

\[ \sigma_{c(2)} = \frac{E_c \frac{e_c}{f_{cm}} - \left( \frac{e_c}{e_{c1}} \right)^2}{1 + \left( \frac{f_{cm}}{E_{cm}} \frac{e_{c1}}{f_{cm}} - 2 \right) \frac{e_c}{e_{c1}}} \]  

(13)

\[ \sigma_{c(3)} = \left( \frac{2 + \gamma_c f_{cm} e_{c1}}{2 f_{cm}} - \gamma_c e_c + \gamma_c e_c^2 \right)^{-1} \]  

(14)
They are derived from the recommendations of the Model Code 1990 [20], slightly modified in the slope parameter $E_{ci}$ of the ascending branch and elaborated for the descending branch [21] to take account for its dependency on the specimen geometry [22, 23]. There, a function $\gamma_c > 0$ controls the descent, incorporating the ratio $G_{cl}/l_c$ of the crushing energy and an internal length parameter to achieve almost mesh independent results of simulations. Unloading occurs linear elastically with the degraded modulus of elasticity. The evolution of damage $d_c$ is linked to the plastic strain $\varepsilon_c^{pl}$ which is determined proportional to the inelastic strain $\varepsilon_c^{in} = \varepsilon_c - \sigma_c^{-1}E_c$ using a constant factor $b_c$ with $0 < b_c \leq 1$. A value $b_c = 0.7$ fits well with experimental data of cyclic tests [24], so most of the inelastic compressive strain is retained after unloading.

$$d_c = 1 - \frac{\sigma_c^{-1}E_c^{1}}{\varepsilon_c^{pl}(1/b_c - 1) + \sigma_c^{-1}E_c^{1}}$$

The simplified material equation for uniaxial tensile loading bases on the "Fictitious Crack Model" of Hillerborg [25]. It is subdivided into two parts. First, loading up to the strength $f_{ct}$ occurs linearly. The second, descending branch arises from the stress-crack opening relation of Hordijk [26] (Figure 6):

$$\sigma_t f_{ct}$$

Fig. 5 Stress-strain relation and linear unloading path (compressive loading)

Fig. 6 Stress-crack opening relation [26] compared to experimental data [27]
\[
\frac{\sigma_t(w)}{f_{ct}} = \left[1 + \left(\frac{c_1 w}{w_c}\right)^3\right] \exp\left(-\frac{w}{w_c}\right) - \frac{w}{w_c} (1 + c_1) w^{-c_1}, \tag{16}
\]

where \( c_1 = 3 \), \( c_2 = 6.93 \) and a product of inelastic strain and length parameter \( l_t \) replaces the crack opening \( w \) to yield \( \sigma_t = \sigma_t(w = l_t \varepsilon_t^{in}) \) with \( \varepsilon_t^{in} = \varepsilon_t - \sigma_t E_c^{-1} \). So \( w \) is smeared over the average element length \( l_t = V_e^{1/3} \) and \( \sigma_t(\varepsilon_t) \) encloses \( G/F_l \). Similar to (15) the damage \( d_t \) depends on \( \varepsilon_t^{pl} \) and an experimentally determined parameter \( b_t = 0.1 \). So, unloading returns almost back to the origin and leaves only a small residual strain.

\[
d_t = 1 - \frac{\sigma_t E_c^{-1}}{\varepsilon_t^{pl} (1/b_t - 1) + \sigma_t E_c^{-1}} \tag{17}
\]

Table 1 summarises the material parameters of the model.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Denotation</th>
</tr>
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<tbody>
<tr>
<td>uniaxial loading</td>
<td></td>
</tr>
<tr>
<td>( E_c = E_{con}, f_{con}, f_{con} )</td>
<td>acc. Eurocode 2 [28] and experimental data</td>
</tr>
<tr>
<td>( h_t = 0.7, b_t = 0.1 )</td>
<td>damage parameters, ( 0 &lt; h_t, b_t \leq 1 ) [21]</td>
</tr>
<tr>
<td>( G_F = 0.195 w_c f_{con}, G_{cl} = 15 kN/m ) [23]</td>
<td>fracture and crushing energies</td>
</tr>
<tr>
<td>( w_c = 180 \mu m, \varepsilon_{c1} = -2.2 % )</td>
<td>max. crack opening [26], strain at ( f_{con} ) [20]</td>
</tr>
<tr>
<td>multiaxial loading</td>
<td></td>
</tr>
<tr>
<td>( v = 0.2 )</td>
<td>Poisson's ratio</td>
</tr>
<tr>
<td>( \psi = 30^\circ )</td>
<td>dilation angle [19]</td>
</tr>
<tr>
<td>( \alpha_t = 1.16 \quad (\rightarrow \alpha = 0.12) )</td>
<td>ratio of biaxial to uniaxial compressive strength [29]</td>
</tr>
<tr>
<td>( \alpha_c = 0.1 )</td>
<td>parameter of the flow potential ( G )</td>
</tr>
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</table>

### 2.3 Results

Figures 7 and 8 represent typical numerical results compared to experimental data, namely overall load-deflection curves and corresponding damage evolutions illustrated by the distributions of the plastic strains and experimental crack patterns at the lateral surfaces of the concrete body. The overall load-deflection curves prove good correspondences to the brittle failure characteristic in case A1 – the numerically evaluated graph even exhibits a little snap-back effect – and in the second case A4, where a pronounced yielding plateau with redistributions in strut-and-tie mechanisms occur. Shear cracks develop after a first damage stage dominated by bending with almost vertical crack orientations. In case of A1, plastic strains localise into one single inclined crack governing the failure. On the contrary (A4), the stirrups hang back the diagonal compressive shear struts into the compressive zone and spread stresses and cracking. A behaviour of designated ductile and deformable nature arises.

Figure 9 offers a view inside the concrete body (A4) that only simulations are able to give. On the one hand, it displays the principle compressive concrete stresses at peak
load. They already form a pattern of inclined struts separated by diagonal shear cracks. On the other hand, it visualises the distribution of the stresses in the stirrups and their evolution over the loading process. After shear cracking – occurring here at about 3mm of deflection in midspan – the circular stirrups start acting like rings under internal pressures and thus obtain almost uniform stresses [13, 16]. Simulation and experiment evidently correspond well in their developments despite a little quantitative underestimation of the total stress extents of about 10 to 20%. This matches well with the underestimating approximation of the overall shear bearing capacity (cp. Figure 7) in cases of ductile behaviours that require pronounced redistributions onto the stirrups.

Fig. 7 Comparison of experimental and calculated load deflection curves

Fig. 8 Evolution of plastic tensile strains with increasing load and experimental crack patterns, left: brittle bearing behaviour (A1), right: ductile bearing behaviour (A4)
3 CONCLUDING REMARKS

Numerical simulations with parametric finite element models are powerful and robust tools for comprehensive investigations of bearing capacities and failure mechanisms of reinforced concrete structures. They reliably evaluate global parameters, like ultimate loads and deflections, close to reality and easily allow extended parameter variations that experiments – due to their demand on time and costs – are not able to give. Moreover, simulations open the view to the inside of structures. Stresses and strains can be monitored not only point wise – e.g. by strain gauges –, but in their overall spatial distributions and developments over loading histories to properly understand inner bearing and redistribution mechanisms. Consequently, nonlinear calculations are applied more and more in design processes of complex structural elements.

However, experiments and numerical investigations have to go hand in hand. Experimental verifications of basic numerical data are still indispensable to insure reliable numerical results.
REFERENCES


NUMERIČKO MODELOVANJE MEHANIZMA LOMA U ARMIRANO-BETONSKIM KONSTRUKCIJAMA

Peter Mark, Michél Bender

U radu je izložen jedan pristup numeričkom modeliranju armirano-betonskih konstrukcija i konstrukcijskih elemenata pod statičkim opterećenjem. Korišćena je tehnika unutrašnjeg modeliranja koja odvojeno tretira betonski deo preseka, podužnu i poprečnu armaturu. Jednačine kojima se opisuje prostorni elastoplastični model loma za beton, izvedene su pod pretpostavkom izotropnog loma i reverzibilne kružne usled povećanja i smanjenja otvora prslina. Date su odgovarajuće funkcije ponašanja materijala i odgovarajući parametri, bazirani na kriterijumu održanja energije. Numerički model je verifikovan eksperimentalnim ispitivanjem grede izložene smicanju i upoređivanjem numeričkih i eksperimentalnih rezultata.

Ključne reči: numerička simulacija, armirani beton, model betona, unutrašnje modeliranje, razvoj loma, lom usled smicanja, kružni poprečni presek