SPATIO-TEMPORAL DYNAMICS AND INTERACTION OF LUMP SOLUTIONS FOR THE (4+1)-D FOKAS EQUATION

by

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The (4+1)-D Fokas equation is a new and important physical model. Its Hirota's bilinear form with a perturbation parameter is obtained by an appropriate transformation. A class of lump solutions and three different forms of spatio-temporal structure are obtained. Meanwhile, the theoretical analysis for the change of spatio-temporal structure is discussed by using the extreme value theory of multivariate function. Finally, the interaction between a stripe soliton and lump solution is discussed, and a new wave phenomenon that the lump solution is swallowed and drowned by the stripe soliton is investigated.

Key words: (4+1)-D Fokas equation, Hirota's bilinear method, lump solution, spatio-temporal dynamics, interaction

Introduction

It is well known that many phenomena arising in fluid mechanics and thermal science can be described by solitary waves [1-3]. The main tools in the open literature to treat the solitary waves are the exp-function method [4] and Hirota's bilinear method [5]. Several authors have contributed in this direction, in which Ma and He were the pioneers. Very recently, Ma and Zhou [6] applied the Hirota's bilinear method to obtain lump solutions of a class of quadratic functions. Meanwhile, the lump solutions and the interaction between lump solutions and other soliton solutions of many non-linear equations were studied [7-11]. However, the spatio-temporal pattern of solitary waves has been little treated though it becomes more and more important in thermal science and fluid mechanics as well. To illustrate the spatio-temporal property of a thermal problem, we consider a candle in the wind, which varies over space and time, local interactions in space can give rise to large scale spatio-temporal patterns, and vice versa. The principal goals to deal with such problems are statistics, machine learning, and others. In this paper spatio-temporal dynamics is used to study solitary waves to reveal their spatio-temporal properties.

Now, we consider the (4+1)-D Fokas equation:

\[ u_{xt} - \frac{1}{4} u_{xxxy} + \frac{1}{4} u_{xyyy} + \frac{3}{2} (u^2)_{xy} - \frac{3}{2} u_{zw} = 0 \]  

(1)

which is a generalization of the (2+1)-D KdV equation, Davey-Stewartson equation, and Kadomtsev-Petviashvili equation [12]. Here, by \((x, y, z, t)\) are real \((3+1)\)-D space and time, while

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$w$ is a phenotype time to reveal effect of time interaction on the morphology of the solitary wave. Therefore, the (4+1)-D Fokas equation can also be called the (3+2)-D Fokas equation. Due to the important applications of spatio-temporal dynamics in practical problems, for example, in electrospinning process [13], bubble's shape change during the bubble electrospinning [14] and in thermal instability in the Taylor cone [15], it is necessary to study its analytical solution. To the best of our knowledge, there are many useful properties that have not been presented in the previous work. In this manuscript, we will study the lump solutions, spatio-temporal structure and interaction between a stripe soliton and lump solution of (4+1)-D Fokas equation by using Hirota's bilinear method. Finally, some new wave phenomena will be investigated and simulated by 3-D plots.

**Spatio-temporal dynamics of lump solution**

**Lump solution and spatio-temporal structure**

Firstly, the bilinear form of eq. (1) is obtained by taking the following transformation:

$$\xi = \alpha x + \beta y$$

and

$$u(\xi, z, w, t) = u_0 + (\beta^2 - \alpha^2)(\ln f)_{\xi \xi}$$

where $\alpha$ and $\beta$ are some wave parameter and $f(\xi, z, w, t)$ is an unknown real function, then taking $a\beta \neq 0$ and $\alpha^2 \neq \beta^2$. Substituting eqs. (3) and (2) into eq. (1), a new bilinear equation which is different from the work [16] is obtained:

$$\begin{align*}
\text{Fokas}(f) : D_z^2 D_w^2 + \frac{1}{4} &\beta(\beta^2 - \alpha^2)D_z^4 + 3\beta u_0 D_z^2 - \frac{3}{2\alpha} D_{zw} f = 0
\end{align*}$$

where $D$ is a bilinear operator [17].

In order to get a class of lump solutions of (4+1)-D Fokas equation, according to [6-9], we can assume that the solution has the following quadratic function form:

$$f(z, w, t) = a_0 + (a_1 \xi + b_1 z + c_1 w + d_1 t + e_1)^2 + (a_2 \xi + b_2 z + c_2 w + d_2 t + e_2)^2$$

where $a_0, a_1, b_1, c_1, d_1, e_1$ and $a_1, b_1, c_1, d_1, e_1, e_2, (i = 1, 2)$ are some real unknown constants to be determined. The following relations among the parameters can be obtained via inserting eq. (5) into eq. (4) with the help of MAPLE.

**Case 1**

$$a_0 = \frac{\alpha \beta a_1^2 (\beta^2 - \alpha^2)}{2b_1 c_1}, \quad d_1 = \frac{3(b_1 c_1 + b_1 c_2)}{2\alpha a_2}, \quad a_1 = 0, \quad d_2 = \frac{3(b_2 c_1 + b_2 c_2)}{2\alpha a_2} - 3\beta u_0 a_2$$

where $b_1 c_1$ and $a_2 \neq 0$ and $a_2, b_1, b_2, c_1, c_2, e_1$, and $e_2$ are some free real parameters. An exact lump solution is obtained by substituting eqs. (6) with eq. (5) into eq. (3):

$$u = u_0 + \frac{2a_1^2 (\beta^2 - \alpha^2)\left[\alpha \beta a_1^2 (\beta^2 - \alpha^2)(2b_1 c_1)^{-1} + \Theta^2 - \Delta^2\right]}{\left[\alpha \beta a_1^2 (\beta^2 - \alpha^2)(2b_1 c_1)^{-1} + \Theta^2 + \Delta^2\right]^2}$$

(7)
where

$$\Theta = b_1 z + c_1 w + \frac{3(b_2 c_1 + b_1 c_2)}{2a a_z} t + e_1$$

and

$$\Delta = a_2 z + b_2 z + c_2 w + \left[ \frac{3(b_3 c_2 - b_3 c_1)}{2a a_2} - 3 \beta u_0 a_2 \right] t + e_2$$

The lump solution, eq. (7), contains some free parameters $b_1, c_1, a_2, b_2, c_2, e_1, e_2$, and $u_0$, which determined the diversity of spatio-temporal structure. Meanwhile, the asymptotic behavior of the lump solution can be found $u \rightarrow u_0$, either $\xi \rightarrow \pm \infty$ or $z \rightarrow \pm \infty$ or $w \rightarrow \pm \infty$ or $t \rightarrow \pm \infty$, it means that solution, eq. (7), has the characteristic of pulse solution. From fig. 1(a), we can clearly see that the lump solution has bright lump solution structure characteristics, which has one upward peak and two small downward projections, the main peak forms a much higher hill, the two downward projections are hidden under the plane wave [18]. However, the spatio-temporal structure of lump solution will vary with the change of the seven free parameter values. Figure 1(b) shows that the lump solution is a dark lump structure which contains one downward peak and two small upward projections. The 3-D image simulation indicates that when the values of these free parameters are changed, the spatio-temporal structure of eq. (7) is changed accordingly. Where, the curve drawn at the bottom of the figure is the contour line in figs. 1(a) and 1(b).

$$\lambda = 1, \beta = 3, a_2 = c_1 = 1, b_1 = 0.25, c_2 = 0.125$$

(a) $\alpha = 1, b_2 = 0, c_1 = 1, b_1 = 0.25, c_2 = 0.125$ (b) $\alpha = -3, \beta = 1, a_2 = 2, c_1 = 4, b_1 = 1, c_2 = 0.25$

(for color image see journal web site)

**Figure 1.** Spatio-temporal of the lump solution of eq. (7); $u_0 = 0, b_2 = 0.5, e_1 = e_2 = \xi = w = 0$, (a) $\alpha = 1, \beta = 3, a_2 = c_1 = 1, b_1 = 0.25, c_2 = 0.125$, (b) $\alpha = -3, \beta = 1, a_2 = 2, c_1 = 4, b_1 = 1, c_2 = 0.25$

Case 2

$$a_0 = \frac{3\alpha \beta (\beta^2 - \alpha^2) \phi^2}{6b_2 c_2 - 4a a_2 \psi}, \quad b_1 = \frac{2a \psi \phi - 3b_2 \phi}{3(a_1 c_2 - a_2 c_1)}, \quad d_2 = \frac{3\beta u_0 \sigma + 3(c_2^2 + c_2^2)(2\alpha)^{-1} - d_2 \phi}{a_2 c_1 - a_2 c_2}$$

where $\phi = a_1^2 + a_2^2, \psi = a_1 c_2 + a_2 c_2, \psi = d_2 + 3\beta u_0 a_2, \sigma = a_1^2 c_2 - 2a_1 a_2 c_1 - a_2^2 c_2$, meanwhile, $b_1$ and $d_2$ needs to satisfy the conditions: $a_1 c_2 - a_2 c_1 \neq 0$. Substituting eqs. (8) with eq. (5) into eq. (3), we get a new lump solution:
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\[ u = u_0 + 2(\beta^2 - \alpha^2) \left( \frac{3\alpha \beta (\beta^2 - \alpha^2) \phi^2}{6bc - 4\alpha a_2 \psi} + \Theta^2 + \Delta^2 \right) - 2(a_1 \Theta + a_2 \Delta)^2 \]

where \( \Theta = a_1 \xi + b_2 z + c_1 \psi + d_2 t + e_1 \) and \( \Delta = a_4 \xi + b_2 z + c_2 \psi + d_2 t + e_2 \). Bright lump structure and dark lump structure are obtained by selecting different parameter values. Meanwhile, we noticed that the conditions \( a_1 c_2 - a_2 c_1 \neq 0 \), which means that the two directions \((a_1, c_1)\), and \((a_2, c_2)\) in the \(\xi w\)-plane are not parallel, therefore, the space structure of lump solutions in \(\xi w\)-plane has the characteristics of kinky wave (see fig. 2).

![Figure 2. Space structure of the lump solution of eq. (9); (a) \(\alpha = 1, \beta = 3, a_1 = 3, \) (b) \(\alpha = -3, \beta = 1, a_1 = 4\) (for color image see journal web site)](image)

**Case 3**

\[ u_0 = 0, \quad a_0 = \frac{3\phi^2 \beta (\alpha^2 - \beta^2)}{4a_2 d_2}, \quad c_2 = 0, \quad h_1 = -\frac{2a_2 d_2}{3c_1}, \]

\[ b_2 = \frac{2aa_1 d_2}{3c_1}, \quad d_1 = 0, \quad e_2 = 0 \]

where \( \phi = a_1^2 + a_2^2, a_1, c_1, a_1, c_1, e_2 \), and \( d_2 \), are some free real numbers. A new lump solution is obtained by substituting eqs. (10) with eq. (5) into eq. (3):

\[ u(\xi, z, \psi, t) = 2(\beta^2 - \alpha^2) \left( \frac{3\phi^2 \beta (\alpha^2 - \beta^2)}{4a_2 d_2} + \Theta^2 + \Delta^2 \right) - 2(a_1 \Theta + a_2 \Delta)^2 \]

where \( \Theta = a_1 \xi - 2a_2 d_2 (3c_1)^{-1} z + c_1 \psi + d_2 t + e_1 \) and \( \Delta = a_2 \xi + 2a_2 d_2 (3c_1)^{-1} z + d_2 t \). The solution of eq. (11) have similar characteristics and space structure with solution of eq. (7). The features of the bright and dark lump structures are reflected by changing the values of these free parameters \( \alpha, \beta, a_i, c_1, e_i, a_2 \) and \( d_2 \).
Theoretical analysis for the spatio-temporal structure changes

In this section, we will provide a theoretical analysis of the spatio-temporal structure changes of lump solutions of eqs. (7), (9), and (11). Here, we mainly discuss two cases of $u_0 = 0$ and $u_0 \neq 0$.

When $u_0 \neq 0$, we focus on the exact lump solution of eq. (7) by the perspective of the extreme value theory of two element function. Here, we consider the case of $\xi = 0$, $w = 0$, in other words, considering the critical point of the function $U(z, t) = u(0, z, 0, t)$. In order to obtain the extremum values of the function $U(z, t)$, it is needed to calculate the necessary condition:

$$
\begin{align*}
\frac{\partial}{\partial z} U(z, t) &= 0 \\
\frac{\partial}{\partial t} U(z, t) &= 0
\end{align*}
$$

Thus, solving condition of eq. (12) leads to a critical point $p(z, t)$, where:

$$
\begin{align*}
z &= -\frac{\left( b_1 c_2 + b_2 c_1 \right) e_2 + \left( b_1 c_1 - b_2 c_2 + 2 \beta u_0 \alpha a_2^2 \right) e_1}{\Omega} \\
t &= \frac{2 \alpha a_2 \left( b_2 e_2 - b_1 e_1 \right)}{3\Omega}
\end{align*}
$$

where $\Omega = c_1 b_1^2 + c_2 b_2^2 + 2 \alpha \beta u_0 a_2^2 \neq 0$. Substituting eq. (13) into two element function $U(z, t)$, through complicated calculation, we can get the extreme value:

$$
U(y, t)|_p = u_0 + \frac{4 b_1 c_1}{\alpha \beta a_2^2}
$$

Furthermore, at the point $p$, the second order derivative can be obtained:

$$
\begin{align*}
A &= \frac{\partial^2 U(z, t)}{\partial z^2} = \frac{16 b_1^2 c_2 \left( b_1^2 + 3 b_2^2 \right)}{\alpha^2 \beta^2 a_2^6 \left( \alpha^2 - \beta^2 \right)} \\
H(U) &= \frac{\partial^2 U(z, t)}{\partial z \partial t} = \frac{\partial^2 U(z, t)}{\partial t^2} = \frac{1728 b_1^4 c_1^2 \Omega^2}{\beta^4 \alpha^6 a_2^{14} \left( \alpha^2 - \beta^2 \right)}
\end{align*}
$$

By using the discriminant theory of extremum points for the two-variables function, meanwhile, notice that $b_1 c_2 \Omega \neq 0$ in eqs. (15), we can have the following results:

1. if $\alpha^2 < \beta^2$, this is, $A < 0$ and $H(U) > 0$, the $p$ is a maximum point, $u(\xi, z, w, t)$ shows bright lump structure characteristics (fig. 1a).
2. if $\alpha^2 > \beta^2$, this is, $A > 0$ and $H(U) > 0$, the $p$ is a minimum point, $u(\xi, z, w, t)$ shows dark lump structure characteristics (see fig. 1b).

When $u_0 = 0$, we mainly discuss the Case 3. let $U(\xi, z) = u(\xi, z, 0, 0)$ in eq. (11). After calculating, the point $p[-(a_4 e_1)/(a_2 e_1) - (3a_2 e_1)/(a_4 e_2)]$ is a critical point of the function $U(\xi, z)$, and:
Thus, at the point \( p \), the second order derivative can be obtained:

\[
\frac{\partial^2 U(\xi, z)}{\partial \xi^2} = \frac{64}{3} \beta^2 \left( a_1^2 + a_2^2 \right)^2 \left( \alpha^2 - \beta^2 \right)
\]

(17)

However, through the extreme value discrimination theory of two element function, we can obtain the following results:

1. If \( \alpha^2 < \beta^2 \), this is, \( B < 0 \) and \( H(U) > 0 \), the \( p \) is a maximum point, \( u \) shows bright lump structure characteristics.
2. If \( \alpha^2 > \beta^2 \), this is, \( B > 0 \) and \( H(U) > 0 \), the \( p \) is a minimum point, \( u \) shows dark lump structure characteristics.

From the previous extreme value theory analysis and 3-D graphic simulation, we can know that the change of spatio-temporal structure mainly depends on the value of parameters \( \alpha \) and \( \beta \), and is not directly related to the perturbation parameter \( w_0 \). So, there will be a bright lump structure, when \( \alpha^2 < \beta^2 \); the dark lump structure appears, when \( \alpha^2 > \beta^2 \). When \( \alpha \beta \neq 0 \) and \( \alpha^2 \neq \beta^2 \), the bilinear form eq. (4) does not exist, we do not go deep research here, some related results can be viewed in [15].

**Interaction**

Here, we construct the interaction between a stripe soliton and lump solution for the (4+1)-D Fokas equation. To obtain the interaction between stripe soliton and lump solution, add an exponential function on the basis of the quadratic function eq. (5), that is:

\[
f = a_0 + (a_1 \xi + a_2 z + a_3 w + a_4 t + a_5)^2 + (a_6 \xi + a_7 z + a_8 w + a_9 t + a_{10})^2 + \sigma e^{a_0 \xi + a_1 \xi + a_2 z + a_3 w + a_4 t + a_5 + a_6 \xi + a_7 z + a_8 w + a_9 t + a_{10}}
\]

(18)

Substituting eq. (18) into eq. (4) with eq. (3), through the tedious and long calculation, we can get the following cases:

**Case 1**

\[
\begin{align*}
\alpha_0 = \frac{a_2^2}{a_1^2}, & \quad \alpha_1 = \frac{a_2 a_1}{a_1}, \quad \alpha_2 = \frac{a_2 a_1 \left( \Theta a_2^2 + \Delta a_1 + a_1 \right)}{a_1^2}, \quad \alpha_3 = a_3, \quad \alpha_4 = \frac{a_3 \left( a_4 - 2 \Theta a_4^2 \right) a_1}{a_1^2} \\
\alpha_5 = a_5, & \quad \alpha_6 = a_6, \quad \alpha_7 = \frac{2 \alpha \Theta a_1^4 a_2^2}{a_1^2}, \quad \alpha_8 = a_8, \quad \alpha_9 = \frac{3 \Theta a_1^2 a_1 + \Theta a_2^2 a_2 + a_2 a_1}{a_1^2}, \quad \alpha_{10} = a_{10} \\
\alpha_{11} = a_{11}, & \quad \alpha_{12} = a_{12} \left( \Theta a_{13} + \Delta a_{11} + a_{11} \right) \frac{a_{13}}{a_{13}}
\end{align*}
\]

(19)
Case 2

\[
\begin{align*}
\left\{
\begin{array}{l}
a_0 = \frac{a_2^2}{a_3}, a_1 = a_2a_{11}, a_2 = \frac{a_3a_{11}(\Theta a_{11}^2 + \Delta a_{11} + a_{14})}{a_{13}^2}, a_3 = a_4 = \frac{a_3(a_4 - 2\Theta a_{11}^2)a_{11}}{a_{13}^2} \\
a_5 = a_6 = a_7 = 2a\Theta a_{14}^2a_{13}^2, a_8 = a_9 = \frac{3\Theta a_{14}^2a_{11}^3 + \Theta a_{14}^2a_{11} + a_6^2a_{14}}{a_{13}}, a_{10} = a_{10}
\end{array}
\right.
\end{align*}
\]

\[(20)\]

where \( \Theta = 0.25\beta(\beta^2 - \alpha^2) \) and \( \Delta = 3\beta u_0 \) in Case 1 and Case 2. So, substituting eqs. (19) (or eqs. (20)) with eq. (18) into eq. (3), we can obtain a mixed type algebraic-exponential solitary wave solutions of (4+1)-D Fokas equation:

\[
u(\xi, z, w, t) = u_0 + \left(\beta^2 + \alpha^2\right)^{-1}\frac{2\left(a_1^2 + a_6^2\right) + \delta a_{1}^2l}{a_0 + m^2 + n^2 + \delta l} - \frac{\left(2ma_1 + 2na_6 + a_{11}\delta l\right)^2}{\left(a_0 + m^2 + n^2 + \delta l\right)^2}
\]

\[(21)\]

where \( m = a_1^2 + a_3z + a_5w + a_{14}t + a_5, n = a_6^2 + a_3z + a_5w + a_{14}t + a_{11} \) and \( l = e^{a_1^1z + a_3^1w + a_5^1t + a_6}, l = e^{a_1^2z + a_3^2w + a_5^2t + a_6} \). In order to simulate the 3-D graph of the interaction between the lump solution and the stripe soliton, we take the parameter \( u_0 = a_5 = a_{10} = a_{15} = w = 0, \alpha = 1, \beta = 2, a_3 = 4, a_6 = 1, a_{11} = -1, a_{13} = -12, a_{14} = 0.5, \) and \( \beta = 1 \) in Case 1.

From fig. 3, we can clearly observe soliton fusion phenomenon. Figure 3(a) shows the solution \( \nu \) consists of a stripe soliton and a lump soliton at time \( t = -2 \). With the development of time, the interaction of the two solitons is drowned or swallowed up by stripe soliton.

Conclusions

In this work, the (4+1)-D Fokas equation is reduced to the (3+1)-D non-linear partial derivative (NLPD) by an appropriate transformations eq. (2). The bilinear form with a small perturbation parameter and some exact lump solutions of (4+1)-D Fokas equation are obtained by Hirota’s bilinear method and a special class of quadratic function. The dark lump structure, bright lump structure and kinky lump structure in different variables are simulated by taking different parameter values, these results reflect the diversity of spatio-temporal property of lump solution. Additionally, on the basis of different structures of these lump soli-
lutions, we analyze the theoretical reason, mainly the size of the $a^2$ and $b^2$ determined to understand the structural feature. Finally, the interaction between the stripe soliton and lump solution is studied, the fusion phenomenon of solitons is simulated by 3-D plots. As far as we know, these novel properties and interesting spatio-temporal dynamics are investigated for the first time in (4+1)-D Fokas equation. Our results enrich the variety of the dynamics characteristics of fluid mechanics and thermal science.

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